

JASON M. HABERMEYER (Cal. Bar No. 226607)  
HabermeyerJ@sec.gov  
KAREN KREUZKAMP (Cal. Bar No. 246151)  
KreuzkampK@sec.gov

Attorneys for Plaintiff  
SECURITIES AND EXCHANGE COMMISSION  
44 Montgomery Street, Suite 2800  
San Francisco, California 94104  
Telephone: (415) 705-2500  
Facsimile: (415) 705-2501

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN FRANCISCO DIVISION

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

JAMES MICHAEL MURRAY,

Defendant,

and

EVENT TRADING GP, LLC,

Relief Defendant.

Case No. CV-12-1288-EMC

PLAINTIFF'S UPDATED CASE  
MANAGEMENT CONFERENCE  
STATEMENT ; order resetting cmc

Case Management Conference

Date: May 21, 2015

Time: 9:30 a.m.

Honorable Edward M. Chen  
Courtroom 5, 17th Floor

Pursuant to Civil Local Rule 16-9 of the United States District Court for the Northern District of California, plaintiff Securities and Exchange Commission ("Commission") submits the following Updated Case Management Statement.

This case concerns alleged violations of the federal securities laws by defendant James Michael Murray. As alleged in the Commission's Supplemental First Amended Complaint, Murray served as investment adviser for Market Neutral Trading, LLC ("MNT"), an investment fund he controlled. The Commission's complaint further alleges that in 2008, Murray raised approximately \$2 million from investors and distributed to investors a purported audit report from a fictitious firm created by Murray that overstated the fund's financial results. The complaint also alleges that in 2011 and 2012, Murray raised an additional \$5 million, soliciting investors using financial statements and marketing materials that materially misstated the financial condition and performance of the fund, as well as the amount of assets it had.

On August 28, 2012, this Court issued an order granting defendant Murray's motion to stay the proceedings in this case pending the resolution of the related criminal proceeding against him (*United States v. Murray*, Case No. 3:12-cr-00278-EMC (N.D. Cal.)) (the "Criminal Action"). The trial in the Criminal Action is scheduled to begin on September 21, 2015.

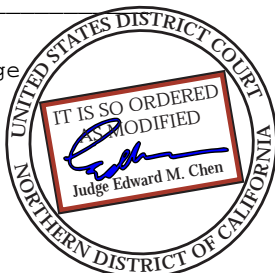
In light of the Court's stay order, and the fact that the Criminal Action is still ongoing, the parties have suspended the instant litigation and have not created a discovery plan or met and conferred regarding a proposed schedule in this matter. To that end, the Commission's efforts to serve and contact the defendant in this matter have been unsuccessful. Accordingly, the Commission has no report to make to the Court at this time.

DATED: May 19, 2015

Respectfully submitted,

IT IS SO ORDERED that the CMC is reset to 11/12/15 at 9:30 a.m. An updated joint cmc statement shall be filed by 11/5/15.

Edward M. Chen  
U.S. District Judge



/s/ Jason M. Habermeyer  
Jason M. Habermeyer  
Attorney for Plaintiff  
SECURITIES AND EXCHANGE  
COMMISSION